

**METHOD AND APPARATUS FOR IDENTIFYING AN ORTHOGONAL FREQUENCY  
DIVISION MULTIPLEXING (OFDM) TERRESTRIAL REPEATER USING INACTIVE  
SUB-CARRIERS**

**Cross Reference to Related Applications**

This application is a continuation of U.S. Patent Application Number 09/398,503, filed on September 17, 1999.

**Field of the Invention**

The present invention relates generally to satellite broadcasting systems, and more particularly, to terrestrial repeaters in such satellite broadcasting systems.

**Background of the Invention**

Satellite broadcasting systems for transmitting programming content have become increasingly popular in many parts of the world. Direct Broadcasting Satellite (DBS) systems transmit television programming content, for example, to a geo-stationary satellite, which broadcasts the content back to the customers. In such a wireless broadcast environment, the transmitted programming can be received by anyone with an appropriate receiver, such as an antenna or a satellite dish.

In addition, a number of satellite broadcasting systems have been proposed or suggested for broadcasting audio programming content from geo-stationary satellites to customers in a large coverage area, such as the continental United States. Proposed systems for providing digital audio broadcasting (DAB), for example, are expected to provide near CD-quality audio, data services and more robust coverage than existing analog FM transmissions. Satellite broadcasting systems for television and radio content provide potentially national coverage areas, and thus improve over conventional terrestrial television stations and AM/FM radio stations that provide only regional coverage.

Satellite broadcasting systems transmits digital music and other audio information from an uplink station to one or more mobile receivers. Satellite broadcasting systems typically include a plurality of satellites and terrestrial repeaters operating in a broadcast mode. The

satellites are typically geo-stationary, and are located over a desired geographical coverage area. The terrestrial repeaters typically operate in dense urban areas, where the direct line of sight (LOS) between the satellites and the mobile receiver can be blocked due to the angle of elevation and shadowing by tall buildings.

5                Many digital audio broadcasting systems utilize a transmitter identifier information signal to permit test equipment to associate the received signal with any terrestrial repeater(s) that generate the TII signal. The European digital audio broadcasting standard, for example, set forth in "Radio Broadcasting Systems: Digital Audio Broadcasting to Mobile, Portable and Fixed Receivers," European Telecommunications Standard: ETS 300 401 (May  
10    1997), provides synchronization, null and data symbols as part of the OFDM signal. Under the European digital audio broadcasting standard, the TII signal is transmitted in the active bandwidth using dedicated synchronization symbols that are multiplexed in time with the user data. A need exists for a method and apparatus for transmitting a TII signal with reduced overhead and increased capacity. A further need therefore exists for a method and apparatus for  
15    transmitting a TII signal that optionally makes the TII information available in all symbols.

### **Summary of the Invention**

                 Generally, a terrestrial repeater is disclosed for use in a satellite transmission system that broadcasts programming content, such as audio and video information. The satellite  
20    transmission system may also include a plurality of satellites. According to one aspect of the invention, the terrestrial repeaters are implemented as OFDM transmitters to minimize the channel impairments caused by multi-path propagation. According to another aspect of the invention, the OFDM terrestrial repeaters differentially encode the transmitted signal over frequency, as opposed to time. Thus, the differential encoding is performed with respect to  
25    consecutive bins (sub-carriers) in the OFDM system in order to avoid channel phase distortion.

                 The OFDM receiver allocates a number of unmodulated sub-carriers as pilot bins and thereby provides such unmodulated sub-carriers as a reference within each OFDM symbol. At the OFDM receiver, the unmodulated pilot bins contain no phase information. Thus, the

unmodulated pilot bins provide a reference for the differential demodulation performed by the OFDM receiver.

According to yet another aspect of the present invention, the OFDM transmitter operates in two modes, namely, a normal mode and a transmitter identifier information mode.

- 5 The TII mode contains all of the functions of the normal mode, and also transmits a repeater identification signal with the customer data, using the inactive OFDM sub-carriers (bins). Thus, the OFDM transmitter can continuously or periodically transmit an identifying signal that can be used, for example, during a testing phase.

In a normal mode, the OFDM transmitter optionally fills unused (inactive) sub-carriers with zeroes and in a TII mode, the OFDM transmitter uses at least some of the unused (inactive) sub-carriers to transmit the transmitter identifier information. In a TII mode, additional sub-carriers will be turned on/off in accordance with a predefined TII value. If the TII sub-carriers continuously transmit the non-varying TII value, it may be performed at reduced power. The predefined TII value is mapped onto a set of complex symbols. The TII signal facilitates the receiver test equipment in associating the received signal with the terrestrial repeater(s) that generate the TII signal.

A more complete understanding of the present invention, as well as further features and advantages of the present invention, will be obtained by reference to the following detailed description and drawings.

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### **Brief Description of the Drawings**

FIG. 1 illustrates a satellite transmission system where the present invention can operate;

FIG. 2 illustrates the OFDM transmitter of FIG. 1 in accordance with the present invention;

FIG. 3 is a table containing a sample record from an entry matrix utilized by the frequency interleaver of FIG. 2; and

FIG. 4 illustrates the OFDM receiver of FIG. 1.

### **Detailed Description**

FIG. 1 illustrates a satellite transmission system 100 in accordance with the present invention. The satellite transmission system 100 transmits digital music and other audio information from an up-link station (not shown) to one or more mobile receivers, such as the mobile receiver 150. As shown in FIG. 1, the illustrative satellite transmission system 100 includes two satellites 110, 120 operating in a broadcast mode. The satellites 110, 120 are designed to be geo-stationary, and are located over a desired geographical coverage area, such as over the eastern and western United States, at appropriate angles of elevation, as dictated by the requirements of a geo-stationary system. In one embodiment, the satellites 110, 120 are implemented as conventional time division multiplexed (TDM) transmitters.

In addition, the satellite transmission system 100 includes a plurality of terrestrial repeaters, such as the terrestrial repeater 140, discussed below, that will operate in dense urban areas, where the direct line of sight between the satellites 110, 120 and the mobile receiver 150, can be blocked due to the angle of elevation and shadowing by tall buildings. According to a feature of the present invention, the terrestrial repeaters 140 are implemented as OFDM transmitters to minimize the channel impairments caused by multi-path propagation. In addition, the OFDM terrestrial repeaters 140 can differentially encode the transmitted signal over frequency, as opposed to time. Thus, the differential encoding is performed with respect to consecutive bins (sub-carriers) in the OFDM system bins in order to avoid channel phase distortion.

The OFDM terrestrial repeater 140 generally differentially encodes the transmitted signal over frequency when the channel exhibits phase coherence (the channel phase from one differentially modulated symbol to the next does not change much). In the illustrative DQPSK implementation, the consecutive channel phases should vary by less than  $45/2$  degrees. In a channel environment where the channel phase variations are more over frequency than over time, the terrestrial repeater 140 may implement a conventional mechanism that differentially encodes over time.

According to another feature of the present invention, the OFDM transmitter 200 can operate in two modes, namely, a normal mode and a TII mode. As discussed further below,

the TII mode contains all of the functions of the normal mode, and in addition transmits a repeater identification signal with the customer data, using the inactive OFDM sub-carriers (bins).

The satellites 110, 120 receive the broadcast signal from a studio, over a robust radio frequency (RF) link, and the satellites 110, 120 will broadcast the signal after down-converting the signal to the carrier frequency. The terrestrial repeaters 140 retrieve the information directly from an up-link studio (not shown), using well-known technical means, such as wireline or microwave links, or from a dedicated satellite (not shown). In the illustrative implementation, the terrestrial repeaters 140 receive the information directly from the studio.

#### OFDM SIGNAL

In the illustrative embodiment, each OFDM symbol of duration  $T_s$  will be composed of 978 active bins (sub-carriers) equally spaced, at a carrier spacing of 4 kHz ( $\Delta f$ ). The duration of the symbol,  $T_s$ , is 266.11 mu-sec, where  $T_s$  equals  $T_u$  plus  $T_g$ . The useful OFDM symbol duration,  $T_u$ , illustratively equals 250 mu-sec and is, and the guard interval duration or cyclic prefix duration,  $T_g$ , illustratively equals 16.11 mu-sec. The inter-carrier spacing,  $\Delta f$ , of 4KHz is equal to the inverse of the useful symbol duration ( $1/T_u$ ). The main signal is defined as follows:

$$s(t) = \text{Re} \left\{ \sum_{l=-\infty}^{\infty} \left( \sum_{k=-489}^{489} z(l, k) \times g(t - lT_s, l, k) + \sum_{k=-511}^{-490} m(533 + k) g(t - lT_s, l, k) + \sum_{k=490}^{511} m(k - 490) g(t - lT_s, l, k) \right) \right\}$$

where,

$z(l, k)$  equals the differentially coded complex symbol for to the  $k$ th sub-carrier in the  $l$ th OFDM symbol for  $k \neq 0$  and 0 for  $k = 0$ ;

$m(k)$  equals the complex TII information (transmitted only in the TII mode, 0 in normal mode);

$g(t, l, k) = \exp(j*2*\pi*k*(t-T_g)/T_u)*\text{rect}(t/T_s)$  for all  $l$ ;

$\text{rect}(x) = 1$  for  $0 \leq x < 1$  or 0 otherwise. In addition, where the *Factor* equals two (2),  $T$  is defined as  $(1/(2048*4000))$  (approximately 122.07 ns);  $T_g$  is defined as approximately 16.11 mu-sec ( $= 132T$ );  $T_u$  is defined as 250 mu-sec  $= 2048T$  and  $T_s$  is defined as approximately 266.11 mu-sec ( $= 2180T$ ).

## OFDM TRANSMITTER

FIG. 2 illustrates the OFDM baseband transmitter 200 in accordance with the present invention. The studio encoder (not shown) supplies the transmitter 200 with the bits to be transmitted. These bits have been source and channel encoded, interleaved and presented to the OFDM transmitter 200.

As shown in FIG. 2, the OFDM transmitter 200 includes a block 210 that collects the input data stream into frames of 1952 bits. In one implementation, the customer data is arranged into blocks of 2000 bits such that the first bit of the frame serves as a synchronization bit. Therefore, the OFDM transmitter 200 will have to extract the framing of the OFDM frame with the proper assignment of the first bit to the cluster synchronization bit. The selection of the cluster synchronization bit value (1 or 0) is not a function of the OFDM signal generator. These 1952 bits will correspond to the 1952 bits belonging to one OFDM symbol. In the illustrative embodiment, the time duration of one 1952-bit-frame is 266.11 mu-sec (microseconds), of which 250 mu-sec will be assigned to the useful OFDM symbol, and 16.11 mu-sec to the guard interval or cyclic prefix (CP).

Following framing, the incoming bits are then mapped into a  $\pi/4$  shifted QPSK constellation by a  $\pi/4$  QPSK modulator 220. In other words, the input bit-stream is mapped into a stream of complex QPSK constellation points, such that the output lies on the complex unit circle, and the points are shifted by  $\pi/4$  from the axes. The exact operations are:

Input: Bit stream  $\{p_0, p_1, \dots, p_{1951}\}$

where  $p(i) = 0$  or  $1$

Output: complex symbols  $\{q_0, q_1, \dots, q_{1951}\}$

where  $q(i) = \text{Complex float: lying on the unit circle at } \pi/4, 3\pi/4, 5\pi/4, 7\pi/4$

$q(n) = (1/\sqrt{2}) * [(1-2*p(2n)) + j*(1-2*p(2n+1))]$  for  $n = 0, 1, \dots, 975$ .

The QPSK-mapped stream is then frequency interleaved by an interleaver 230. The OFDM signal contains information in both the time and frequency domains. The OFDM transmitter 200 generates a signal that has 976 sub-carriers plus 2 unmodulated pilots and a nulled sub-carrier, each with 4 kHz separation between sub-carriers. The channel that distorts the signal varies in both time and frequency. The time variations, due to the motion of the receiver

400 relative to the transmitter 200, are taken advantage of by the time interleaver (not shown) of the encoder block. The frequency variations, due to the delay spread of the channel, is taken advantage of by the frequency interleaver 230. The channel would present correlated fading to nearby sub-carriers, and will decorrelate from itself at a frequency separation equal to approximately the inverse of the delay spread of the channel. The following describes the OFDM frequency interleaver in detail:

Input :  $\{q_0, q_1, q_2, \dots, q_{975}\}$  where  $q(i)$  = complex QPSK symbols

Output:  $\{y_0, y_1, \dots, y_{975}\}$  where  $y(i)$  = complex QPSK symbols

Let  $q(i)$  be represented as  $I(i) + jQ(i)$ ;

10 arrange the complex stream  $\{q_0, q_1, \dots, q_{975}\}$  as:

B:  $I_0 I_1 I_2 \dots I_{975} Q_0 Q_1 \dots Q_{975}$ , as a 1952 sample wide buffer.

This stream will be interleaved using a 61 by 32 block interleaver, such that the buffer, B, is written to a matrix row by row and the output, T, is read out from the matrix column by column. The entry matrix 300 when fully occupied is shown in FIG. 3.

15 Therefore, the output, T, equals  $\{t_0, t_1, \dots, t_{1951}\}$ , which can be expressed as follows:

$\{I_0, I_{32}, I_{64}, \dots, I_{960}, Q_{16}, \dots, Q_{912}, Q_{944}, I_1, I_{33}, I_{65}, \dots, I_{961}, Q_{17}, \dots, Q_{913}, Q_{946}, \dots, I_{31}, I_{63}, \dots, Q_{15}, Q_{47}, \dots, Q_{943}, Q_{975}\}$ ,

where the leftmost entry of the stream is the oldest sample out of the interleaver 230.

20 The stream T is then de-multiplexed such that:

$$y(0) = t_0 + j * t_1$$

$$y(1) = t_2 + j * t_3$$

....

$$y(975) = t_{1950} + j * t_{1951}$$

25 The stream of complex samples  $\{y_0, y_1, \dots, y_{975}\}$  denotes the output of the frequency interleaver 230.

As previously indicated, the OFDM terrestrial repeater 140 differentially encodes the transmitted signal over frequency, as opposed to time. Thus, a differential modulator 240 differentially encodes the interleaved data with respect to consecutive bins (sub-carriers) in the

OFDM system. At the receiver 400, the 489th sub-carrier will contain no phase information. Also by the structure of the IFFT buffering, discussed below, the 1<sup>st</sup> sub-carrier will also be devoid of any phase information. Therefore, the differential modulator 240 initiates the encoder memory with phase  $\pi/4$  every 489th received complex sample. It is noted that the unmodulated pilot bins provide a reference for the differential demodulation. Thus, the OFDM transmitter 200 provides, for example, two sub-carriers as a reference within each OFDM symbol. The following details the operations of the differential modulator 240:

Input = {y0, y1, ... y975} where y(i) = complex

Output = {z0, z1, ... z1955} where z(i) = complex

The differential modulator 240 operates as follows. Initially, the input is arranged such that:

$$t_0 = (1/\sqrt{2}, 1/\sqrt{2})$$

$$t_1 = y_0$$

$$t_2 = y_1$$

....

$$t_{488} = y_{487}$$

$$t_{489} = (1/\sqrt{2}, 1/\sqrt{2})$$

$$t_{490} = y_{488}$$

$$t_{491} = y_{489}$$

...

$$t_{977} = y_{975}$$

The values  $1/\sqrt{2}$  and  $1/\sqrt{2}$  are to be pilot tones at the beginning  $k=-489$  and the middle ( $k=1$ ) of the spectrum. Thereafter, the output values, z(i), of the differential modulator 240 are generated using complex multiplication as follows:

$$z(0) = t(0) \quad ,$$

$$z(1) = t(1)*z(0)$$

$$z(2) = t(2)*z(1)$$

...

$$z(488) = t(488)*z(487)$$



$$\begin{aligned}
z(489) &= t(489) \\
z(490) &= t(490)*z(489) \\
&\dots \\
z(977) &= t(977)*z(976)
\end{aligned}$$

5 As shown in FIG. 2, block 250 fills unused (inactive) carriers with zeroes (in a normal mode) or with transmitter identifier information (TII) (in a TII mode). In a TII mode, additional sub-carriers will be turned on/off. The on/off is governed by a repeater identification number  $b_0, b_1, \dots, b_{43}$ , which is a 44 bit number, and is mapped onto complex symbols  $m_0, m_1, \dots, m_{43}$ . The TII signal facilitates the receiver test equipment in associating the received signal with  
10 the terrestrial repeater(s) that generate the TII signal. The illustrative TII is capable of identifying at most  $2^{44}$  repeaters. Due to considerations of limiting interference to TDM bands associated with the satellites 110, 120, a subset of these numbers may be properly selected.

The TII number is specified as  $b_0, b_1, \dots, b_{43}$ , where  $b(i)$  can be 0 or 1. The mapping of the bits  $b(i)$  to  $m(i)$  may be performed as follows:

$$\begin{aligned}
15 \quad m(i) &= 1/\sqrt{2} + j*1/\sqrt{2}; \text{ if } b(i) = 1, \text{ or} \\
&= 0; \quad \quad \quad \text{if } b(i) = 0.
\end{aligned}$$

In a normal mode, all  $m(i)$  will be zero.

The differentially encoded data is passed to an IFFT block 260 that creates the OFDM signal. In the illustrative implementation, a factor of 2 oversampling is used, requiring the  
20 IFFT 260 to have a length of 2048. Generally, an implementation may require higher oversampling by a factor, *Factor*, which will then necessitate a  $1024*Factor$  IFFT. To ensure that the highest frequency sub-carriers are reproduced without aliasing the IFFT 260 must be made twice as large as the number of active sub-carriers. It is noted that the value of *Factor* must at-least be 2.

25 The buffering of the  $1024*Factor$  long buffer is designed to accommodate 978 sub-carriers (1022 in TII Mode), such that the 0<sup>th</sup> location of the buffer will contain the nulled sub-carrier (required for acquisition purposes) and then the next 489 samples will occupy the next consecutive locations. The last 489 locations of the buffer is filled with the latter half of the incoming 978 samples. The rest of the buffer is filled with zero's at block 250. To visualize, this

IFFT arrangement will produce the positive frequency components (relative to the carrier at 2.9 GHz) with the first 489 samples coming in, the negative frequency components with the latter 489 samples that come in, and the central carrier (the carrier at 2.9 GHz) being nulled. In the TII Mode additional sub-carriers are turned on/off.

5 The details of the block 250 are as follow:

Input =  $\{z_0, z_1, \dots, z_{977}\}$  where  $z(i)$  = complex

Output =  $\{f_0, f_1, f_2, \dots, f(Factor*1024-1)\}$  where  $f(i)$  = complex

(an oversampling rate of *Factor* is assumed).

Arrange  $z(n)$   $\{n=0, \dots, 977\}$  such that:

10 The central null is established as follows:

$f(0) = (0,0)$ ;

The sub-carriers are established as follows:

first half

latter half

$f(1) = z(0)$ ;

$f(1024 \times Factor - 489) = z(489)$

15 ...

$f(488) = z(487)$ ;

$f(1024 \times Factor - 2) = z(976)$

$f(489) = z(488)$ .

$f(1024 \times Factor - 1) = z(977)$

The TII signal is established as follows:

first half

latter half

20  $f(490) = m(0)$ ;

$f(1024 \times Factor - 511) = m(22)$

$f(491) = m(1)$ ;

$f(1024 \times Factor - 491) = m(42)$

....

$f(511) = m(21)$ .

$f(1024 \times Factor - 490) = m(43)$ .

Inactive carriers are set to zero, as follows:

25  $f(512) = (0,0)$ ;

...

$f(1024 \times Factor - 512) = (0,0)$ .

The operation of the IFFT block 260 can be expressed as follows:

Input =  $\{f_0, f_1, \dots, f(1024 \times Factor - 1)\}$ , where  $f(i)$  = complex

$$\text{Output} = \{a_0, a_1, a_2, \dots, a(1024 \times \text{Factor} - 1)\},$$

where  $a(i)$  is complex and belongs to the complex plane and does not lie on a grid. The IFFT block 260 generates a Complex to Complex Inverse FFT and generates an output in I/Q format. For a more detailed discussion of the IFFT block 260, see, for example, W. H. Press et al.,  
 5 Numerical Recipes in C - The Art of Scientific Computing, Cambridge University Press, Cambridge (1991), incorporated by reference herein.

A cyclic prefix is added to the signal at block 270 to mitigate the effects of the channel and inter-repeater delay spreads. The operation of the CP block 270 can be expressed as follows:

$$\begin{aligned} \text{Input} &= \{a_0, a_1, a_2, \dots, a(\text{Factor} \times 1024 - 1)\} \text{ where } a(i) = \text{complex} \\ \text{Output} &= \{A_0, A_1, A_2, \dots, A((1024 + \text{GI}) \times \text{Factor} - 1)\} \text{ where } A(i) = \text{complex} \end{aligned}$$

It is noted that GI is set to 66 samples, and oversampling of *Factor* is assumed for OFDM signal generation. *Factor* must at-least be 2. This creates a cyclic prefix of 16.11 mu-sec, slightly larger than design. The number of samples in the cyclic prefix is  $\text{GI} \times \text{Factor}$ .

15 The CP block 270 simply takes the last  $\text{GI} \times \text{Factor}$  samples of the incoming  $1024 \times \text{Factor}$  samples, and repeats these samples at the beginning of the  $(1024 + \text{GI}) \times \text{Factor}$  buffer. The latter part of the buffer is then filled with the incoming  $1024 \times \text{Factor}$  samples.

As previously indicated, the transmitter 200 runs at two times oversampling, in the illustrative embodiment, which produces a I/Q sample stream at the transmitter output 280 at  
 20 a rate of  $4.096 \times \text{Factor}$  Msamples/sec in both the I and Q rails.

For a detailed discussion of a conventional orthogonal frequency division multiplexing (OFDM) system, see, for example, W.Y. Zou and Y. Wu, "COFDM - An Overview," IEEE Trans. Broadcasting, Vol. 41, No. 1, 1-8 (March 1995) or J.A.C. Bingham, "Multicarrier Modulation for Data Transmission: An Idea Whose Time Has Come," IEEE  
 25 Comm., 5-14 (May 1990), each incorporated by reference herein.

## OFDM RECEIVER

FIG. 4 shows an exemplary OFDM receiver 400 in accordance with the present invention. The OFDM receiver 400 contains elements 470, 460, 450, 440 and 430 that perform

the inverse function of the corresponding element in the OFDM transmitter 200, in a manner well-known to those skilled in the art. The elements 470, 460, 450, 440 and 430 in the OFDM receiver 400 are operative once the timing and frequency offset have been acquired, in a manner well-known to those skilled in the art.

5                   According to one feature of the present invention, the OFDM receiver 400 also operates in two modes, namely, a normal mode and a transmitter identifier information mode. As shown in FIG. 4, block 450 multiplexes the sub-carriers to separate the TII/ unused bins from the active, data-carrying bins. The TII/ unused bins are processed by a TII demodulator 435 to process the TII information or to discard the unused sub-carriers. The active, data-carrying bins  
10                   are processed by the differential demodulator 440 (over frequency), frequency de-interleaver 430, QPSK demodulator 420 and a bit sink 410.

                  It is to be understood that the embodiments and variations shown and described herein are merely illustrative of the principles of this invention and that various modifications may be implemented by those skilled in the art without departing from the scope and spirit of the  
15                   invention.